1	The role of matric potential, solid interfacial chemistry and wettability on isotopic
2	equilibrium fractionation.
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29	Core ideas:										
30	- Matric potential controls the equilibrium fractionation factor between soil water										
31	stable isotopes and water vapor										
32	- Surface chemistry as determined by X-ray photoelectron spectroscopy affects the										
33	equilibrium fractionation factor										
34	- A conceptional isotope retention characteristic approach is presented										
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46	Keywords: water stable isotopes, isotope retention curve, matric potential, water										
47	repellency, evaporation										
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53 Abstract

54 Soil water stable isotopes are widely used for geo- and eco-hydrological applications. 55 However, the signature of soil water isotopic composition in the environment depends on 56 various factors. While recent work has shown matric potential effects on equilibrium 57 fractionation, little work has examined other soil parameters concerning soil water energy 58 status like the surface wettability, usually guantified in terms of contact angle.

59 Here we explore simultaneously the role of matric potential, contact angle and soil surface 60 chemistry effects on the equilibrium fractionation factor during soil water evaporation. We 61 present a simple laboratory experiment with four different soils of various textures. 62 Subsamples of each texture class were treated with dichlorodimethylsilane to modify 63 surface wetting properties. Additionally, we tested two natural soil samples to explore 64 wettability effects. Samples were dried at temperatures between 40°C and 550°C to 65 produce chemically modified surface properties. All samples were spiked with water of 66 known isotopic composition at different water contents. The isotopic signature was 67 determined using the vapor-bag equilibration method. Matric potential of each sample was 68 measured with a soil water potential meter, the contact angle was determined with the 69 sessile drop method and the surface chemistry by X-ray photoelectron spectroscopy.

In addition to temperature and soil matric potential, the elemental composition has apparently some control on the equilibrium fractionation factor. Based on findings, we introduce a new soil water isotope retention characteristic approach to summarize how all these factors (matric potential, contact angle and soil surface chemistry) each control the equilibrium fractionation factor for ¹⁸O/¹⁶O and ²H/H. Corresponding retention curve approach parameters are promising to be applied in the future to predict soil water fractionation effects under natural and non-stationary conditions.

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79 Introduction

80 The storage and mixing of water in the unsaturated zone is dominated by various 81 processes that interact with physical, chemical and biological properties of the soil 82 (Vereecken et al., 2016; Pronk et al., 2017). In this context, stable isotopes are a powerful 83 tool to investigate water and water vapor exchange processes between soil and 84 atmosphere (Dawson et al, 2002). For instance to trace hydrological processes of 85 terrestrial ecosystems, including subsurface flow path ways (Garvelmann et al., 2012; 86 Stumpp and Maloszewski (2010); Mueller et al., 2014, Oshun et al., 2016), travel times 87 (Klaus et al., 2015; Sprenger et al., 2016), groundwater recharge (Koeniger et al, 2016), 88 evapotranspiration (Dubbert et al., 2013; Gaj et al., 2016), plant root water uptake (Vargas 89 et al., 2017, Rothfuss and Javaux, 2017) and the partitioning of the global terrestrial water 90 cycle (Evaristo et al, 2015; Good et a., 2015; Bowen, 2015; McDonnell, 2014).

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Stable isotope fractionation theory

The isotopic signature of precipitation is depleted in ¹⁸O and ²H under cold environmental 93 94 conditions and enriched under warm environmental conditions. This results in seasonal 95 differences and is reflected in the slope of the global meteoric water line (GMWL), 96 considering the earth to be a closed system (Craig and Gordon, 1965; Clark and Fritz, 1997). The different vapor pressures of $H_2^{18}O$ and $^2H^1H^{16}O$ in equilibrium with the water 97 vapor pressure result in an enrichment of ²H in the water phase which is eight times 98 99 greater than correspondingly for the ¹⁸O isotope. In addition to equilibrium fractionation, 100 evaporation entails further kinetic fractionation effects depending on surface temperature, 101 wind speed and relative humidity. At low or zero relative humidity (RH ~0%), the isotopic 102 enrichment follows a Rayleigh distillation, which means that the isotopic signature of the 103 evaporating water can be simply described by the Rayleigh-equation. However, under 104 atmospheric conditions, humidity will mostly be > 0%. Then additional fractionation is

caused by kinetic effects due to the different diffusivities of $H_2^{16}O$, DH¹⁶O and $H_2^{18}O$ (Clark 105 106 and Fritz, 1997, Horita et al., 2008) in the liquid and gas phase. During the evaporation 107 process, water vapor diffuses through a transition zone from a boundary layer above the 108 water surface into the atmosphere and vice versa. This is codified in the well-known Craig 109 and Gordon model (Craig and Gordon, 1965). The combined equilibrium and kinetic 110 fractionation effects result in local evaporation lines (LEL) of water from open water bodies 111 with slopes lower than the GMWL (Gat, 2000). The slopes of evaporation lines of open 112 water bodies are related to different environmental conditions like the relative humidity and 113 can be determined using stable isotope signatures of the water (Gonfiantini, 1986; 114 Skrypzek et al., 2015). Tracing soil water infiltration and groundwater recharge can be 115 done by simply tracking the time series of precipitation isotope signature and mixing, 116 lagging and damping can be used to estimate travel times through the soil profile (McGuire 117 and McDonnell, 2006). Also labeling experiments can be used to track soil water 118 movement and water uptake as summarized in recent reviews (Koeniger et al., 2016; 119 Sprenger et al., 2016)

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Theory for isotope fractionation for subsurface water

122 Compared to the theory applied for stable isotope surface hydrology, the theory for isotope 123 fractionation for subsurface water is more complex. Evaporation from the soil profile as 124 traced by stable isotopes is affected additionally by soil physical properties. Early 125 benchmark presented theoretical and analytical approaches (Barnes and Allison, 1983) to 126 calculate steady state in isothermal and non-steady state/ non-isothermal soil profiles 127 (Barnes and Allison, 1984). These authors further showed experimentally that the slope of 128 the LEL is controlled by the diffusivity of the water vapor, the tortuosity of the soil pore 129 system and the evaporation rate (Allison and Barnes, 1988). These experiments were re-130 examined with subsequent numerical studies (Braud et al., 2009a, Braud et al., 2009b,

Rothfuss et al., 2012) and later with higher temporal resolution using in-situ approaches (Rothfuss et al., 2015). Model results suggested that the kinetic fractionation factor is associated with the highest uncertainty especially at the dry end of the water retention curve at low relative humidity. For wet soil, the relative humidity in the pore space is a function of temperature and the energy status of pore water. Decreasing soil moisture increases the matric potential, i.e. the pressure difference to atmospheric pressure, and reduces the relative humidity in the pore space (Figure 1).

138 **Figure 1**

139 As a consequence, methods to determine the isotopic signature from immobile soil water 140 in comparison to bulk water can be difficult and can bias the isotopic signature of soil water 141 depending on the soil water content (Wassenaar et al., 2008; Hendry et al., 2015), the 142 texture (Orlowski et al., 2016; Königer et al., 2011; West et al., 2006), i.e. effects of 143 hydrated cations in the interlayer space of clay minerals (Oerter et al., 2014, Gaj et al., 144 2017a; Gaj et al., 2017b) and the presence of soil carbonate (Meißner et al., 2013). 145 Available methods to determine soil water isotopic signatures were reviewed and 146 theoretically discussed (Sprenger et al., 2015). In an extensive laboratory study some of 147 these methods were compared using spiked water isotope experiments (Orlowski et al., 148 2016). It was found that methods that do not require a phase change (i.e. squeezing and 149 centrifugation) did best in recovering the isotopic signature of the spiked water. However, 150 the direct vapor equilibration method (Wassennaar et al., 2008, Hendry et al., 2015) has 151 strong potential for future application because portable laserspectrometers allow vapor 152 sampling in-situ (Rothfuss et al., 2013; Volkmann and Weiler, 2014, Gaj et al., 2016, 153 Oerter and Bowen, 2017). This will increase the spatial and temporal resolution of isotope 154 data reflecting the heterogeneity of water and water vapor fluxes. The time required to 155 reach isotopic equilibrium between soil water and water vapor can vary from minutes in 156 sandy soil as shown in a field application (Gaj et al., 2016) and for a column experiment in 157 the laboratory (Rothfuss et al., 2015). Depending on the texture of the soil sample 158 equilibrium can also take a few hours to several days after destructive sampling, using the 159 vapor bag equilibration method (Wassennaar et al., 2008; Garvelman et al., 2012; Hendry 160 et al., 2015; Sprenger et al., 2015). Direct equilibration methods assume the equilibrium 161 fractionation factor is a function of temperature as it is for open water surfaces (Majoube et 162 al., 1970; Wassennaar et al., 2008). Also, other stable isotope related model assumptions 163 such as Rayleigh distillation (Clark and Fritz, 1997), the Craig and Gordon model (Craig 164 and Gordon, 1965) or the non-steady-state leaf water isotope model (Dongmann et al., 165 1974) require the equilibrium fractionation factor for their application. It has been 166 discussed that relative humidity might control the kinetic fractionation factor (Soderberg et 167 al., 2012). Adsorption experiments showed that the equilibrium fractionation factor is 168 controlled by the vapor pressure (Lin and Horita, 2016; Lin et al., 2017). Other recent work 169 has been shown that the equilibrium fractionation factor of natural soil samples is 170 controlled by matric potential, which, in turn, control the water vapor pressure.

171

172 So, what is the way forward? We know that the physical properties of soils are linked to 173 the pore size distribution, which is affected by soil texture, soil bulk density as well as the 174 organic matter content (Figure 1). Water retention characteristics are parameterized in 175 well-known water retention models and their derivatives (Brooks and Corey, 1964; van 176 Genuchten, 1980; Othmer et al., 1991; Peters et al., 2015). In some soils, water retention 177 characteristics are further altered significantly by specific interfacial properties of the 178 particles, because the formation of biogeochemical interfaces (BGI's) may develop in 179 some soils water repellent surfaces on the pore surfaces (Bachmann and van der Ploeg, 180 2002). These changes in wettability also change the shape of the water retention curve to 181 virtually coarser texture (Bisdom et al., 1993; Bauters et al, 2000; Reszkowska et al., 2014; 182 Liu et al., 2011). Wettability properties can also change over time depending on moisture

183 conditions and the composition of the biogeochemical interface (de Jonge et al., 2007). As 184 illustrated in Figure 2 (left), capillary pressure of the liquid phase, which is basically the 185 pressure difference above a curved water meniscus and the pressure inside the meniscus, 186 is also related to the contact angle (CA) that forms at the three-phase boundary of the 187 unsaturated solid-liquid-gas system (Bachmann et al., 2007; Bauters et al., 2000). In this 188 respect, the type of adsorbed cation has been found to be insignificant for wetting 189 properties (Diehl et al., 2014). However, the abundance of cations and their effect on soil 190 organic matter interfacial properties is physically and chemically not yet fully understood 191 (Pronk et al., 2017). Recently it was found for numerous soils that there is a significant 192 relationship between the wettability (expressed in the CA) and the surface C/O ratio 193 (Woche et al., 2017). However, the link between the wettability in a solid-liquid-gas system 194 and its effect on isotopic fractionation has not yet been examined. Therefore, the objective 195 of the present study is: What is the relative role of matric potential, wettability and soil 196 surface chemistry on the soil water isotopic equilibrium fractionation factor?

197 **Figure 2**

198

199 Methods

In order to answer these questions, we analyzed soil samples of different textures and samples with similar textures, but with different surface properties to cover i.e.: i) wide range of textures, but not swelling/shrinking, ii) differences in wettability (moderate Ttreatment), iii) differences in interfacial chemistry (salinization, 550° treatment), iV) model soils vs. natural soils to increase complexity of interface

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206 Sample description

The schematic of the sample preparation procedure is illustrated and explained in Figure 2 (right). Soil samples with different texture (refer to Table 1) were artificially hydrophobized by treatment with dichlorodimethylsilane (DCDMS) (Goebel et al., 2013; Hassan et al., 2014). An additional set of samples was collected from an agricultural field and a nearby (approx. 20m) located coniferous forest (*Pinus sylvestris L*.). The two samples with the same texture but different wettability properties were split into 3 sub samples. The sub samples were temperature treated (dried for 24h at 40, 105, and 550°C) to create different surface properties. All samples were subsequently analyzed for texture, surface elemental composition, contact angle and specific surface area / BET.

216

217 *Isotope measurements*

The well-known direct equilibration approach was used to determine stable isotope signatures of the soil water (Wassennaar et al, 2008). This method assumes that soil water quickly reaches thermodynamic equilibrium with the vapor in the head space. Then the bag head space (i.e. the vapor) was measured with a water vapor isotope analyzer (WVIA, LGR, IWA-35-EP). Isotope signatures are reported in δ -notation. The δ refers to the measured isotope ratios of the sample R_{sample} to an international or laboratory reference standard R_{reference}:

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226
$$\delta = \left(\frac{R_{\text{sample}}}{R_{\text{reference}}} - 1\right)$$
[1]

227

The isotope ratio R is defined as the quotient of $N({}^{i}E)_{P}$ and $N({}^{j}E)_{P}$, with the number of each isotope ${}^{i}E$ and ${}^{j}E$, of chemical element E in substance P expressed as (Coplen, 230 2011):

231

232
$$R(^{i}E/^{j}E) = \frac{N(^{i}E)_{P}}{N(^{j}E)_{P}}$$
 [2]

The laboratory standards had δ -values of 200.4 ‰ and -26.2 ‰ for the enriched and 3.0 ‰ and-0.1 ‰ for the depleted standard for ²H and ¹⁸O, respectively. All samples were normalized with a two-point calibration using the laboratory standards. The laboratory standards were calibrated to the international reference scale VSMOW-SLAP.

We calculated our tension-based fractionation factor $\alpha^{i/j}E_{P/Q}$ based on the isotope ratio of the labelled water $R(^{i}E/^{j}E)_{Q}$ and the isotope ratio of the measured water vapor $R(^{i}E/^{j}E)_{P}$ as follows:

241

242
$$\alpha^{i/j} E_{P/Q} = \frac{R({}^{i}E/{}^{j}E)_P}{R({}^{i}E/{}^{j}E)_Q} = \frac{1000 + \delta_P}{1000 + \delta_Q} = a_{\chi - \chi}$$
 [3]

243

where a_{x-y} is used as simplified notation for the equilibrium fractionation factor.

245

246 Sample Preparation and measurement procedure

All DCDMS-treated soil samples and their non-treated controls (each 100g) were oven dried at 105°C for 24h and subsequently labeled with deionized water of known isotopic signature. Soil water contents in the range from 0.1% to 6% of the weight of the soil sample were prepared in individual zip-loc bags.

251 In order to preserve the surface properties achieved by drying at specific temperatures, the 252 two soil samples had been spiked after thermal treatment for 24h at 40°C and 105°C. The 253 samples treated with 550°C were also again dried at 105°C prior to the spiking. All 254 samples were cooled down to room temperature after placing them into an exicator. In 255 contrast to the DCDMS-treated sample set, the headspace measurements were done 1h, 256 24h and 72h after adding the spiked water to the 200g soil sample. After each headspace 257 measurement a subsample was measured for soil tension with the WP4 water potential 258 meter as explained below. Bags were immediately sealed after subsamples were taken 259 out. The headspace was not refilled with dry air to avoid evaporation effects. Instead,

260 vapor of the headspace was circulated through the laserspectrometer. After emerging a 261 needle into the zip-loc bag headspace gas from the bags has been extracted into the 262 instrument. When the measured mixing ratio approached its maximum a second needle 263 was emerged in to the bags. This second needle returned the exhaust flow of the analyser 264 into the bags. On that way the gas was circulated between the analyser and the bag. The 265 bags and the transport lines have the same temperature. Hence there is no condensation 266 during the sampling procedure (isothermal conditions). All standards were measured in the 267 same way. A quality check standard was measured with each sequence. A quality check 268 standard is water of known isotopic composition treated as the samples. After 269 normalization of the data the known value of the quality check is compared to the 270 measured value. The difference between the two are the numbers that we provide with 0.4‰ for δ^{18} O-values and 2.32 ‰ for δ^{2} H-values. This is per definition the trueness of your 271 272 measurement procedure (Barwick and Prichard, 2011).

To account for the water loss by evaporation into the headspace of the sample bags, the theoretical new equilibrium fractionation factor was calculated according to Gat (1994) with:

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$$277 \qquad R_f = \frac{R_0}{\alpha - f(\alpha - 1)} \tag{4}$$

278

where R_0 is the initial isotope ratio, R_f the isotope ratio of the remaining fraction f. According to equation 4 the mean enrichment due to a water loss into the headspace was 0.14‰ for δ^{18} O-values and 1.15‰ for δ^{2} H-values. Water amount effects are therefore camouflaged by the accuracy of our bag method as determined from the quality check. Further, mixing ratio dependencies of the laserspectrometer were considered and were insignificant within the range we measured.

286 Soil tension measurements

Matric potential was measured on a WP4C water potential meter (Decagon Devices, Inc., Pullman, WA). This psychrometer device has a precision of ±0.1Mpa between 0 to -10Mpa and ±1% between -10 to -300 Mpa (Gubiani et al., 2013). The water potential meter measures the combined osmotic (ψ_{os}) and matric potential (ψ_m). The osmotic potential was determined from the electrical conductivity (EC) of the soil solution after adding 50 ml of deionized water to 100g of soil sample. Then ψ_{os} can be calculated as recommended in the manual of the WP4:

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295
$$\psi_{os} = -0.036EC\left(\frac{\theta_s}{\theta}\right)$$
 [5]

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with EC [dS m⁻¹] denotes for electrical conductivity of the solution, θ_s [g/g] for the water content at saturation and θ [g/g] for the actual water content. Then water retention curves were plotted from the known gravimetric water content and the calculated matric potential (combined potential minus osmotic potential).

301

302 X-ray photoelectron spectroscopy (XPS)

303 Surface elemental composition of the thermally treated natural soils were determined with 304 X-ray photoelectron spectroscopy (XPS, analysis depth max. 10 nm). Recorded were 305 survey spectra with an Axis Ultra DLD device (Kratos Analytical, Manchester, UK), using 306 AlK□ radiation (1486.7 eV) with 20 mA, 12 kV, and a pass energy of 160 eV. Spectra were 307 quantified with the software Vision 2 (Kratos Analytical, Manchester, UK), using the 308 implemented relative sensitivity factors.

309

310 Contact angle (CA)

CA was determined with the sessile drop method using a CCD equipped CA microscope (OCA 15, Data Physics, Filderstadt, Germany). The material was fixed on a microscope slide with double sided adhesive tape as a one-grain-layer and the placement of a drop (1 μ L) of water recorded. Evaluated was the initial CA directly after placement of the drop and ending of mechanical disturbances by drop shape analysis (ellipsoidal fit) and fit of tangents on the left and the right side (Goebel et al., 2013). CA is given as the mean of six drops (*n*=12).

318

319 **Results**

320

321 DCMDS treatment effects

322 The CA increased with increasing amount of the applied DCDMS. The texture of most 323 samples remained unaffected by the treatment of DCDMS (Table 1). There was one 324 exception, clay sample C1, which had been treated with the highest amount of DCDMS 325 showed an increase of the clay fraction in conjunction with an increase of the specific 326 surface area. DCDMS treatment increased the specific surface area for the fine sand 327 about 30% (SF), but increased the specific surface area for the silt sample by 30% (S) and 328 also for the clayey sample (C) by 20%. A comparison of the CA measurement before and 329 after the vapor bag experimental procedure of the isotope sampling confirmed the stability 330 of the coating for the field sand samples (values within the uncertainty of the 331 measurement, see Table 2). Additional increase of CA after the vapor bag experimental 332 procedure was observed for the silt samples. However, hydrophobicity created by DCDMS 333 treatment of the clay samples decreased after the entire experimental procedure (drying, 334 rewetting, isotope sampling and water potential measurement). The DCDMS treatment of 335 the clay samples resulted in initial CA of 51° and 105° directly after treatment. After drying 336 at 105°C, rewetting and isotope sampling, the initial CA of the clay sample treated with 337 400µl DCDMS/100g was reduced to from 51° to 18°, while the CA of the other clay sample

338 (1600µl DCDMS/100g) was reduced by 39° to 66°.

339

Table 1

341

342 Thermal treatment effects

343 The texture of the natural samples remained unaffected by heat treatment (Table 1). 344 Thermal treatment with 550°C decolorized the samples due to the removal of organic 345 compounds, increased the specific surface area and resulted in complete wettability, 346 i.e.CA=0°. Removal of the coating caused an increase in the content of the mineral-347 derived cations (especially Si, AI, and Ca) and O and a decrease of C and N content within 348 XPS analysis depth (Table 3). The surface O/C ratio increased in accordance with an 349 increase in wettability, e.g. decrease of CA (Woche et al., 2017). While N was absent in 350 the interface after thermal treatment at 550°C, C content still was up to 20 at. -%, probably 351 due to adventitious carbon, i.e., carbon compounds adsorbed to the particle surfaces from 352 the surrounding air. Thermal treatment of the natural soil material at 105°C resulted in an 353 increase of C and a decrease of O content. Surface O/C ratio accordingly decreased after 354 105°C and CA increased. The specific surface area of the forest samples increased with 355 increasing temperature, while samples from the agricultural site did not show an effect by 356 thermal treatment on the specific surface area (Table 2). Effects temperature treatment on 357 the water retention characteristic and the equilibrium fractionation factor are depicted in 358 Figure 3.

359

Table 2

Table 3

363 Water Retention Characteristics (WRC)

364 The shape of the WRC of the DCDMS treated soil samples did not change in comparison 365 to the untreated control. Samples dried at 40°C and 105°C which were also changed in 366 CA, showed similar moisture release curves in the measured low-moisture range between 367 pF-value > 3 and pF-value < 6, like the silane-treated samples. The highest matric 368 potential values were observed above pF-value 5.5 (31.6 MPa) at 0.05 kg/kg water 369 content. In contrast, samples dried at 550°C reached a maximum soil tension of pF-value 370 4.5 (3.16 MPa). Most scatter of water content was observed between the different time 371 steps at or below wilting point, as indicated by the error bars (Figure 3). A change towards 372 higher soil tension over time was most pronounced for the samples dried at 40°C. Only 373 small variations in water content were observed for the samples dried at 105°C and 550°C.

Figure 3

375 Isotope Retenion Characteristic (IRC)

The isotope retention characteristic (IRC) can be considered as an analogue to the water retention characteristic. Instead of illustrating the relationship between matric potential and water content, the IRC expresses the relationship between the matric potential ψ and the equilibrium fractionation factor between bound water and water vapor (α_{x-y}). The isotope retention characteristic is presented here for soil samples with different grain sizes and different interfacial properties.

IRC's of the natural soil samples showed an increase of slope with increasing temperature from 40° to 105°, while the 550° treated samples were similar to the 40° samples (Figure 3). Samples dried at 105°C plotted along the same regression line as found by Gaj and McDonnell, (accepted), considering all values below pF-value 5. Values above pF-value 5 showed a decrease of α_{x-y} only for the samples dried at 105°C. The equilibrium fractionation factor of samples dried at 40°C, steadily increased until pF-value 6. A less distinct IRC was observed for the samples dried at 550°C. The error bars in Figure 3 for 389 the agricultural and the forest samples indicate the standard deviation of the equilibrium 390 fractionation factor α_{x-v} from the sampling after 1h, 24h, and 72h. A mean over the standard deviation for all water contents for α^{18} O-values was 0.46*10⁻⁴ for the sample 391 392 forest 40, 0.65*10⁻⁴ for forest 105, and 0.75*10⁻⁴ for forest 550. These values were higher for the acre samples with 0.8×10^{-4} , 1.04×10^{-4} , and 1.4×10^{-4} for acre 40, acre 105 393 394 and acre 550. Therefore, the most consistent fractionation factors with respect to small 395 standard deviation over time were observed for the samples dried at 105°C indicating 396 more stable and homogenous surface properties. The same standard deviation was calculated for α^2 H-avalues was 4.5*10⁻⁴ for the sample forest 40, 4.8*10⁻⁴ for forest 105, 397 398 and 5*10⁻⁴ for forest 550. These values were higher for the acre samples with 4.3*10⁻⁴, 399 4.3*10⁻⁴, and 4.8*10⁻⁴ for acre 40, acre 105 and acre 550.

400 Dual isotope plots of the temperature treated soil samples are depicted in Figure 4. 401 Commonly a LEL derived from soil water isotope signatures relates two vertical distributed 402 variables to each other. The LEL shown here are a result of matric potential effects on the 403 equilibrium fractionation between the bound water and the water vapor. No actual 404 evaporation occurred during the experiment. The slopes of the evaporation lines of the 405 DCDMS – treated silt samples varied between 1 and 6.4 (Table 1); smaller slopes were 406 observed for the clay samples. Dual isotope plots of the natural soil samples grouped 407 according to the applied drying temperature. The slope of the LEL's of all-natural soil 408 samples changed slightly over the course of 3 days (expressed by the error bars). 409 Greatest slopes (6.6 – 15.9) were observed for the samples dried at 40°C. The δ -values 410 plot parallel to the GMWL. Generally, increasing CA can be attributed to higher slopes of 411 the evaporation lines (Figure 4 and Figure 5) until a CA=90°. The slope of the evaporation 412 line decreases from 90° to higher CA's. The samples treated with 550°C do not fit into the 413 general picture. Neither the relationship between the contact angle and the O/C ratio, nor the relationship between the slope of the evaporation line and the contact angle does align

415 to the other samples.

- 416 **Figure 4**
- 417

418 **Discussion**

419 Matric potential, solid interfacial chemistry and wettability

A shift in the WRC for water repellent soil samples towards lower matric potentials – as shown by Lamparter et al., (2014) and Reszkowska et al., (2014) – was not observed in this study for the analyzed soil samples and at the applied water content. Instead, our observations are in agreement with a previous study that showed no or minor effects of the wettability to the WRC at or around wilting point for hydrophobic and hydrophilic soil samples with similar texture (Liu et al., 2012).

426

427 *IRC and wettability*

428 The physical properties controlling the storage and release of water are strongly related to 429 the surface chemical composition and the binding state of the water molecule layers 430 adsorbed to the particle surfaces. A change of the physicochemical structure of organic 431 matter has consequences for the mobility and adsorption of water (Diehl et al., 2014). 432 Sorption of non-polar organic compounds as well as heat treatment has a strong effect on 433 particle wettability (Decker and Ritsema, (1994); Reszkowska et al., 2014; Diehl et al., 434 2014; Woche et al., 2017). This has corresponding effects on the spatial distribution of the 435 water content, on the binding state of thin water films on particles surfaces (Churaev, 436 2000) as well as on the shape and the radius of single water menisci (Muehl et al., 2012). 437 Consequently, this affects the energetic interfacial equilibrium state of the water vapor 438 pressure in a solid-water-vapor system and changes the equilibrium fractionation factor for ¹⁸O/¹⁶O and ²H/¹H. However, as could be shown here the grain size distribution has the 439

440 major control to the isotopic equilibrium fractionation factor if non-polar organic compounds

441 are camouflages by surface hydroxyl groups of silane.

442

443 *IRC and surface chemistry*

In contrast, if the wettability is associated with the ratio of C/O the slope of the evaporation line changes correspondingly. Therefore, our data provides evidence that the chemical composition as expressed by the wettability of the particle surfaces affects the equilibrium fractionation factor, i.e. the sessile drop contact angle might control the slope of the evaporation lines without DCDMS (Figure 5). However, more data is needed to confirm this relationship for both DCDMS and natural soil samples

450

451 Secondary findings and future work

452 The applicability of vapor bag equilibration method has been constrained by a lower limit of 453 3g of water within the bag (Wassenaar et al., 2008) due to water loss into the headspace 454 that enriches the original isotope signature of the sample. The data presented here have 455 already conclusively shown that not the water content by itself is the limiting factor to get 456 an unaffected isotopic signature with this method. It is merely the combination of soil 457 tension, the surface chemical composition and the wettability that controls the equilibrium 458 fractionation between tightly bound or immobile water and water vapor within the pore 459 space. Therefore, the isotopic signature of tightly bound water can be determined with the 460 vapor bag equilibration method if the IRC is known. This approach extends the applicability 461 of direct water vapor equilibration methods and consequently the use of in-situ 462 measurements.

As could be shown here, the drying of soil samples affects the surface properties and changes the equilibrium fractionation factor. It is critical to develop a method for the determination of the isotope retention characteristic of natural soil samples which does not affect the surface properties. If other soil water extraction methods are affected by thesurface elemental conditions is not known.

468 Figure 5

469 **Conclusion**

470 We provide evidence that wettability, grain size distribution and the surface elemental 471 composition of solids affect the equilibrium fractionation factor in different ways. The 472 primary control on the equilibrium fractionation factor of soil water is the grain size 473 distribution. Secondly, the wettability, (as expressed by the contact angle) of surfaces has 474 an insignificant effect on the equilibrium conditions if the surface elemental composition is 475 camouflaged by DCDMS. However, the wettability controls the slope of the evaporation 476 line if water and water vapor can interact with the surface elemental composition of the soil 477 grain surface. This has been shown by soil samples that were treated with different 478 temperatures.

479 Therefore, the degree of transport, mixing and fractionation of water stable isotopes within 480 the unsaturated zone under drought is controlled by the composition of the biogeochemical 481 interface, soil tension and wettability. Further, the presented data has some important 482 implications from a methodical perspective. Sample preparation using oven dried samples 483 should consider that surface properties change and that this might affect the isotopic 484 signature of the bound water. Especially the use of stable isotope signatures of soil water 485 and water vapor at the dry end of the water retention curve (around wilting point) is largely 486 unexplored, but nevertheless important, since these dry conditions occur preferentially at 487 the shallow soil depths, where evaporation into the atmosphere occurs. These soil layers 488 are also enriched with organic matter changing wettability and solid particle interfacial 489 chemistry. Studies using soil water stable isotopes should consider corresponding 490 fractionation effects for better understanding and guantification of using stable water 491 isotopes for environmental studies. Neglecting these effects can bias application of direct

- 492 equilibration methods and calculations using models that assume equilibrium conditions
- 493 during soil water evaporation.

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764 Figure 1: The color coding represents different water types such as mobile, immobile and 765 hygroscopic water. If soils are saturated, water is moved by gravitation (blue). Availability 766 of water for plant-root water uptake has its optimum at or around field capacity (green). 767 During evaporation, a liquid network connects the soil water with the atmosphere. The 768 dominant control on vapor pressure within the pore system is the soil temperature and the 769 pore size. These two variables also define the threshold at which pore condensation 770 occurs. If water is slowly available, then plants have water stress (orange). This is when 771 the water within the pore system only forms thin water films on the soil particle surface. In 772 this situation the influence of adhesion between the soil particle and the water controls the 773 vapor pressure in addition to temperature. Liquid water transport only occurs via film flow. 774 Water vapor diffuses through the soil profile driven by a vapor pressure gradient.

775

776 **Figure 2:** left: A water drop on a plane surface develops a particular contact angle β 777 depending on the solid's surface properties. An increasing contact angle causes the 778 surface to be hydrophobic. A hydrophilic soil (CA=0°), given a particular pore size 779 distribution and water content, shows a higher soil water tension (or lower matric potential) 780 compared to the same soil with reduced wettability (CA>0°). The cohesion between water 781 molecules dominates under hydrophobic conditions, the vapor pressure in the pore system 782 and the equilibrium fractionation factor α , both are controlled by the soil temperature. In 783 contrast, a hydrophilic surface causes adhesion between the soil particle surface and the 784 water to control the vapor pressure and the equilibrium fractionation factor α .

- **right:** The unsaturated pore system consists of three phases, the soil particle (solid), the liquid water and the water vapor. Soil particles in a natural system have a coating of particular physio-biogeochemical composition.
- 788 Artificial hydrophilization was created by treatment with dichloromethylsilane (DCDMS). 789 Contact with water (the atmospheric humidity is sufficient) causes hydrolysis of the 790 chlorine atoms that are intermediary replaced by hydroxyl groups that form hydrogen 791 bonds with surface hydroxyl (silanol) groups. The hydrolyzed chlorine atoms react with 792 hydrogen atoms to HCI gas that will evaporate or be dissolved in soil water. Soil water 793 within the air-dry samples may favor HCI formation, possibly causing a drop in pH of the 794 soil solution. Subsequent condensation results in a covalently bonded dimethyl siloxane 795 (DMS) coating of the treated samples with the two methyl groups pointing towards pore 796 space thus rendering the surface hydrophobic (Goebel et al., 2013; Hassan et al., 2014). 797 Addition of different amounts of DCDMS varied the degree of reduction in wettability 798 (Bachmann and Mc Hale, 2009). Hydrolyzed DCDMS units not involved in reaction with 799 the particle surfaces will polymerize to form polydimethylsiloxane (PDMS) that may locate 800 as water repellent particular bodies between the grains and may additionally affect CA. 801 Heat treatment with 40°C. 105°C and 550°C affects the coating of the soil particle and 802 creates different surface properties which has a strong effect on the wettability (Decker 803 and Ritsema 1994, Reszkowska et al., 2014; Diehl et al., 2014).
- 804

805 Figure 3: Water retention characteristics (top row), the equilibrium fractionation factor for ¹⁸O (middle row) and the equilibrium fractionation factor for ²H (lower row) are shown. 806 807 Samples from the acre (left) and the forest (right) were treated with 40, 105, and 550°C. 808 The heat treatment with 550°C reduced the matric potential compared to the treatment 809 with lower temperature. Samples from the acre have a lower matric potential below wilting 810 point compared to the forest soil. The equilibration time appeared to have an only minor 811 impact on the moisture release curve and is indicated by the error bars. The equilibrium fractionation factor for ¹⁸O increases with increasing soil tension (middle row). The dotted 812 813 lines show the calculated equilibrium fractionation factor using the Kelvin-equation with a 814 contact angle of 0° (upper curve) and a contact angle of 88° (lower curve). All values plot 815 within the calculated equilibrium fractionation factors using the Kelvin equation. A

- regression line found by Gaj and McDonnell (2018) is also indicated (dashed grey). Heat
- treatment of the acre samples with 105°C resulted in higher slopes of α^{18} O. In contrast,
- α^2 H does not show a strong effect on the shape of the isotope retention curve.
- 819

Figure 4: The global meteoric water line (dashed black), the local evaporation lines (LEL), their slope, intercept and R^2 are indicated in corresponding color coding. The heat treatment altered the wettability of the samples collected the acre and the forest. This caused a change of slope in dual isotope space, while all samples have the same grain size. Samples dried at 40° plot parallel to the GMWL. Heat treatment with 105° resulted in slopes which are found in arid zones. Drying at 550°C resulted in a slope between the two 40°C and 105°C sample sets.

820

Figure 5: The relationship between surface O and C (left), the surface O/C ratio and the

- contact angle (center) and contact angle with the slope of the local evaporation line (LEL).
- (right) are shown. Samples coated with DCDMS showed an increasing slope of the LEL
- 824 along with an increasing CA. The heat-treated soils show a decrease of the C/O ratio and
- an increase of the contact angle. The slope of EL increased with increasing contact angle until 90° and decreased above that threshold. A relationship between grain size
- 827 distribution and the slope of the LEL could not be found.
- 828

Table 1: The names of the samples are according to the German DIN standards for soil texture classifications. Particle size analysis, total organic

830 carbon (TOC), total inorganic carbon (TIC) and applied DCDMS amount and surface area determined by BET analysis are summarized here. One set of

831 samples were artificially hydrophobized using DCDMS as indicated. Samples from an acre and the forest with similar grain size but differenced in

832 wettability were treated with 40, 105, and 550°C to alter surface properties.

							Surface	Slope	
Name	<63	63 - 630	630 -2000	μL DCDMS/100g	TOC [%]	TIC [%]	Area	of the	R ² /p-value
							[m²/g]	EL	
SS	0	2.5	97.1	-	0 21 ±0 01	0	0.15	3.03	0.97 / <0.0001
SS_5	-	0	-	5	0.21 ± 0.01		0.11	3.07	0.71/0.0012
Ut2_5	0	11.8	88.2	5	0.01 ±0	0	0.08	3.78	0.52 / 0.0052
Ut2_20	0	11.1	88.9	20	0.01 ± 0	0	0.27	5.47	0.9/0.0001
Ut3	11.7	88.3	0.0	-			1.09	1.3	0.01/0.7251
Ut3_20	13.3	86.7	0.0	20	0.05 ±0.01	1.16 ±0.02	0.99	3.03	0.68 / 0.023
Ut3_60	13.2	86.8	0.0	60			0.81	3.65	0.87 / <0.0001
Su1	4.88	17.4	77.7	400		0	26.89	1.19	0.71/0.034
St3	24.11	3.9	72.0	1600	0.30 ±0.2	0	33.02	1.3	0.98 / <0.0001
SS_acre 40	0	7.9	92.1	-	2.06 ±0.15	0.23 ±0.01	0.11	7.75	0.85 / 0.0001
SS_forest 40	0	7.9	92.0	-	2.97 ±0.24	0.01 ±0.01	0.20	9.29	0.84 / <0.0001
SS_acre 105	0	5.6	94.3	-	1.70 ±0.03	0.28 ±0.03	0.12	1.94	0.52 / <0.0002
SS_forest 105	0	6.6	93.4	-	2.77 ±0.44	0.02 ±0.01	0.02	2.46	0.22 / <0.0329
SS_acre 550	0	4.2	95.7	-	0.02 ±0.0	0.21 ±0.04	0.57	4.71	0.65 / <0.0001
SS_forest 550	0	3.5	96.5	-	0.01 ±0.0	0	0.40	4.13	0.5/0.0011

- 844 Table 2: Initial contact angle (CA_{ini}) and contact angles after 5s (CA_{5s}) of the DCDMS-treated samples were determined before (pre) and after (post) 845
 - determination of the IRC: The volumes given refer to the applied amount of DCDMS.

	CA_ini	(
		(°)		
SS				
Orig	48.6	8.5	18.7	16.8
5 µL _{pre}	83.6	5.5	56.0	5.9
5 μL _{post}	70.1	10.1	62.6	12.6
10 µL _{pre}	93.6	7.3	81.3	12.0
10 μL _{post}	85.6	10.0	66.4	9.3
Ut2				
orig _{pre}	49.0	4.1	37.6	8.5
orig _{post}	53.5	6.1	31.2	8.9
5 μL _{pre}	111.0	7.0	96.4	9.9
5 µL _{post}	108.2	4.3	91.0	10.7
20 µL _{pre}	97.9	4.6	89.9	4.2
20 µL _{post}	91.0	6.7	73.3	5.3
Ut3				
orig _{pre}	13.7	4.3	0.0	0.0
orig _{post}	36.8	6.9	1.0	0.0
20 µL _{pre}	49.2	7.6	3.0	4.9
20 µL _{post}	73.5	4.6	40.7	4.9
60 µL _{pre}	60.2	5.1	27.8	7.7
60 µL _{post}	87.0	3.3	63.6	6.6
Su1 /St3				
400 µL _{pre}	52.6	9.8	1.0	0.0
400 µL _{post}	18.3	9.7	1.0	0.0
1600 µL _{pre}	104.5	9.5	61.5	6.0
1600 µL _{post}	66.3	13.3	22.3	17.2

Table 3: Surface chemistry of thermally treated soil samples determined by XPS analysis as atomic percentage of elements found. Additionally, initial contact angle (CA_{ini}) and contact angles after 5s (CA_{5s}) were determined and did not change.

	Na		Fe		0		N		Ca		К		С		Cl		Р		Si		Al		Mg		0/C		Si/C		CA_ini		CA_5s	
												((at%)															(-)				(°)
SS_ACRE																																
40_PRE	0.00	0.00	0.22	0.03	39.35	0.68	2.30	0.08	1.07	0.08	0.05	0.06	47.76	0.83	0.05	0.05	0.17	0.12	6.23	0.57	2.62	0.32	0.17	0.18	0.82	0.03	0.13	0.01	78.50	8.41	37.65	14.5
40_AFT	0.00	0.00	0.03	0.03	40.82	0.98	1.44	0.20	0.02	0.02	0.01	0.01	44.50	0.58	0.04	0.05	0.02	0.03	12.38	0.74	0.69	0.25	0.06	0.06	0.92	0.03	0.28	0.02	102.00	5.10	87.66	11.1
105_PRE	0.05	0.05	0.05	0.05	34.36	1.74	2.85	0.11	1.07	0.05	0.00	0.01	54.86	2.66	0.02	0.02	0.23	0.10	4.26	0.56	2.11	0.39	0.16	0.07	0.63	0.06	0.08	0.01	122.79	6.80	116.24	5.7
105_AFT	0.00	0.00	0.09	0.03	33.18	1.23	2.66	0.20	1.10	0.07	0.01	0.01	56.24	2.13	0.01	0.01	0.24	0.01	4.19	0.43	2.11	0.38	0.18	0.14	0.59	0.04	0.07	0.01	122.73	9.35	120.07	10.1
550_PRE	0.05	0.02	0.51	0.12	54.80	3.76	0.09	0.11	4.35	0.28	0.03	0.02	20.65	5.89	0.00	0.01	1.11	0.06	11.87	1.85	5.93	0.64	0.60	0.31	2.83	0.95	0.62	0.26	1.00	0.00	0.00	0.0
550_Aft	0.00	0.00	0.46	0.12	56.73	1.66	0.03	0.03	4.33	0.54	0.02	0.02	20.29	3.52	0.24	0.08	0.88	0.12	8.30	0.50	7.83	1.25	0.89	0.29	2.86	0.53	0.42	0.06	1.00	0.00	0.00	0.0
SS_FOREST																																
40_PRE	0.01	0.01	0.07	0.07	36.70	1.06	1.52	0.26	0.03	0.01	0.01	0.02	50.83	2.51	0.02	0.01	0.03	0.01	10.27	1.79	0.45	0.09	0.07	0.04	0.72	0.06	0.20	0.04	105.37	10.65	90.36	11.9
40_AFT	0.00	0.01	0.16	0.07	38.28	0.27	2.98	0.09	1.22	0.05	0.01	0.01	49.18	0.76	0.03	0.02	0.27	0.06	5.38	0.60	2.24	0.08	0.25	0.17	0.78	0.02	0.11	0.01	91.40	7.36	54.04	9.7
105_PRE	0.00	0.00	0.05	0.05	33.76	0.92	1.27	0.05	0.07	0.03	0.01	0.01	53.96	1.86	0.02	0.02	0.03	0.03	10.07	0.98	0.65	0.09	0.11	0.07	0.63	0.04	0.19	0.02	129.17	6.13	124.01	9.0
105_AFT	0.00	0.01	0.05	0.05	31.22	2.00	1.19	0.12	0.06	0.03	0.00	0.01	57.96	3.73	0.01	0.01	0.02	0.02	8.86	1.56	0.50	0.05	0.13	0.12	0.54	0.07	0.15	0.04	134.50	15.66	130.28	13.8
550_PRE	0.14	0.06	0.28	0.12	59.73	2.15	0.03	0.03	0.37	0.04	0.25	0.33	15.00	4.27	0.02	0.02	0.08	0.06	20.33	2.09	3.49	0.15	0.28	0.08	4.25	1.45	1.47	0.58	1.00	0.00	0.00	0.0
550_Aft	0.09	0.02	0.64	0.07	58.44	2.48	0.04	0.04	0.83	0.11	0.15	0.05	16.97	3.74	0.06	0.04	0.24	0.07	15.27	0.78	7.07	0.46	0.19	0.05	3.57	0.83	0.93	0.23	1.00	0.00	0.00	0.0



Figure 1: The color coding represents different water types such as mobile, immobile and hygroscopic water. If soils are saturated, water is moved by gravitation (blue). Availability of water for plant-root water uptake has its optimum at or around field capacity (green). During evaporation, a liquid network connects the soil water with the atmosphere. The dominant control on vapor pressure within the pore system is the soil temperature and the pore size. These two variables also define the threshold at which pore condensation occurs. If water is slowly available, then plants have water stress (orange). This is when the water within the pore system only forms thin water films on the soil particle surface. In this situation the influence of adhesion between the soil particle and the water controls the vapor pressure in addition to temperature. Liquid water transport only occurs via film flow. Water vapor diffuses through the soil profile driven by a vapor pressure gradient.



Figure 2: A water drop on a plane surface develops a particular contact angle β depending on the solid's surface properties. An increasing contact angle causes the surface to be hydrophobic. A hydrophilic soil (CA=0°), given a particular pore size distribution and water content, shows a higher soil water tension (or lower matric potential) compared to the same soil with reduced wettability (CA>0°). The cohesion between water molecules dominates under hydrophobic conditions, the vapor pressure in the pore system and the equilibrium fractionation factor a, both are controlled by the soil temperature. In contrast, a hydrophilic surface causes adhesion between the soil particle surface and the water to control the vapor pressure and the equilibrium fractionation factor a.

right: The unsaturated pore system consists of three phases, the soil particle (solid), the liquid water and the water vapor. Soil particles in a natural system have a coating of particular physio-biogeochemical composition.

Artificial hydrophilization was created by treatment with dichloromethylsilane (DCDMS). Contact with water (the atmospheric humidity is sufficient) causes hydrolysis of the chlorine atoms that are intermediary replaced by hydroxyl groups that form hydrogen bonds with surface hydroxyl (silanol) groups. The hydrolyzed chlorine atoms react with hydrogen atoms to HCl gas that will evaporate or be dissolved in soil water. Soil water within the air-dry samples may favor HCl formation, possibly causing a drop in pH of the soil solution. Subsequent condensation results in a covalently bonded dimethyl siloxane (DMS) coating of the treated samples with the two methyl groups pointing towards pore space thus rendering the surface hydrophobic (Goebel et al., 2013; Hassan et al., 2014). Addition of different amounts of DCDMS varied the degree of reduction in wettability (Bachmann and Mc Hale, 2009). Hydrolyzed DCDMS units not involved in reaction with the particle surfaces will polymerize to form polydimethylsiloxane (PDMS) that may locate as water repellent particular bodies between the grains and may additionally affect CA. Heat treatment with 40°C, 105°C and 550°C affects the coating of the soil particle and creates different surface properties which has a strong effect on the wettability (Decker and Ritsema 1994, Reszkowska et al., 2014; Diehl et al., 2014).



Figure 3: Water retention characteristics (top row), the equilibrium fractionation factor for 180 (middle row) and the equilibrium fractionation factor for 2H (lower row) are shown. Samples from the acre (left) and the forest (right) were treated with 40, 105, and 550°C. The heat treatment with 550°C reduced the matric potential compared to the treatment with lower temperature. Samples from the acre have a lower matric potential below wilting point compared to the forest soil. The equilibration time appeared to have an only minor impact on the moisture release curve and is indicated by the error bars. The equilibrium fractionation factor for 180 increases with increasing soil tension (middle row). The dotted lines show the calculated equilibrium fractionation factor using the Kelvin-equation with a contact angle of 0° (upper curve) and a contact angle of 88° (lower curve). All values plot within the calculated equilibrium fractionation factors using the Kelvin equation. A regression line found by Gaj and McDonnell (2018) is also indicated (dashed grey). Heat treatment of the acre samples with 105°C resulted in higher slopes of a180. In contrast, a2H does not show a strong effect on the shape of the isotope retention curve.



Figure 4: The global meteoric water line (dashed black), the local evaporation lines (LEL), their slope, intercept and R² are indicated in corresponding color coding. The heat treatment altered the wettability of the samples collected the acre and the forest. This caused a change of slope in dual isotope space, while all samples have the same grain size. Samples dried at 40° plot parallel to the GMWL. Heat treatment with 105° resulted in slopes which are found in arid zones. Drying at 550°C resulted in a slope between the two 40°C and 105°C sample sets.



Figure 5:The relationship between surface O and C (left), the surface O/C ratio and the contact angle (center) and contact angle with the slope of the local evaporation line (LEL). (right) are shown. Samples coated with DCDMS showed an increasing slope of the LEL along with an increasing CA. The heat-treated soils show a decrease of the C/O ratio and an increase of the contact angle. The slope of LEL increased with increasing contact angle until 90° and decreased above that threshold. A relationship between grain size distribution and the slope of the LEL could not be found.